

Patent

Case No.: 58716US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

ANDERSON, THOMAS J.

Application No.:

10/740262

Group Art Unit:

1755

Filed:

December 18, 2003

Examiner:

Unknown

Title:

ALUMINA-YTTRIA PARTICLES AND METHODS OF MAKING THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being:

- ☑ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

Oct. 26, 2004

Signed by: Lisa Hengen

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner.

Copies of any cited foreign patents, foreign publications, non-patent literature documents; and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

October 11, 2004

Date

By: Gregory D. Allen, Reg. No.: 35,048
Telephone No.: (651) 736-0641

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Filing Date	December 18, 2003	
First Named Inventor	Anderson, Thomas J.	
Art Unit	1755	
Examiner Name		
Attorney Case Number	58716US002	

U.S. Patent Documents					
Exam. Init.*	Cite	Document Number	Publication Date or Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY		
	A1	US- 4,111,707	09/05/1978	Komorita et al.	
	A2	US- 4,789,501	12/06/1988	Day et al.	
	А3	US- 5,747,397	05/05/1998	McPherson et al.	
	A4	US- 6,251,813 B1	06/26/2001	Sato	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			

	Foreign Patent Documents						
Exam.	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines,	Translation
Init.*	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	(Check if yes)
	B1	JP	60221338	11/06/1985			Abstract
	B2						
	В3					_	

OTHER DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)	
	C1			

Examiner:	Date Considered:
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.